



# ALABAMA DEPARTMENT OF TRANSPORTATION

## Local Transportation Bureau

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Kay Ivey  
Governor

John R. Cooper  
Transportation Director

April 23, 2019

### LOCAL TRANSPORTATION BUREAU MEMORANDUM 2019-16

TO:

ATTENTION:

FROM:

D.E. (Ed) Phillips, Jr., P.E., Local Transportation Bureau Chief

REFERENCE: FY 2020 Planning Funds & Unified Planning Work Program (UPWP)

Please find the FY2020 FHWA PL (planning) funds allocated for the MPO as shown in the chart below. These are the funds that are available to develop your FY2020 Unified Planning Work Program (UPWP). Please note that this figure also includes the transfer of FTA Transit Planning 5303 funds allocated to your MPO.

FHWA PL & FTA 5303 (planning) funds are available for the current fiscal year plus three additional years. Therefore, also please find below the unused planning funds apportioned for your MPO for the past three years. You may request to carry over any portion of these unused funds for use in your FY2020 UPWP. Any unused prior year planning funds may be included in your FY2020 UPWP in any task but must be broken out by fiscal year and identified separately. Additionally, carryover funds may be included in a new optional UPWP Task entitled "Future Planning Studies". Funds in the Future Planning Studies task are not required to be expended in the current year UPWP but may be "banked" for future use in the development of a Transportation Improvement Plan, Long Range Transportation Plan, or any other planning study approved by this office. Again, any carryover funds must be broken out by fiscal year and identified separately. The carryover of planning funds in the current UPWP will be evaluated on a case-by-case basis. Therefore, please identify and fully describe the intended use of these carryover planning funds in your UPWP.

FY 2020 Apport. =	FY 2016 Carryover =	FY 2018 Carryover =
SPR Funds =	FY 2017 Carryover =	

Also, note that any planning funds apportioned to the State of Alabama and not expended within the availability period (current fiscal year plus three additional fiscal years) will lapse and revert back to FHWA/FTA for redistribution. It is ALDOT's intention to allow individual MPOs to

“bank” carryover funds beyond the federal availability period as long as the Statewide total planning fund balance for any fiscal year does not exceed the funds availability period. ALDOT will expend oldest planning funds first to attempt to prevent the lapse of any planning funds. However, should the aggregate of All MPO carryover funding requests result in a portion of the carryover funds being in a fiscal year’s allocation exceeding the availability period, it may become necessary for ALDOT to place a limit on the amount of carryover funds.

Please include State Planning and Research (SPR) funds as a separate line item at the bottom of your UPWP summary page. Funding is subject to availability by the federal government.

No additional structural components will be required for the FY2020 UPWP. All applicable requirements of Local Transportation Bureau Memorandum 2018-15 will apply and can be found at: <https://www.dot.state.al.us/ltweb/administration/memorandums.html>. Your planning fund charge number will be 100069831 for the FY 2020 UPWP. Please reference this number on all invoices and related correspondence after September 30, 2019.

- No later than June 1, 2019 – MPO submits draft UPWP to ALDOT
- No later than July 16, 2019 – ALDOT/FHWA/FTA provide comments on UPWPs
- No later than August 12, 2019 – MPO submits revised Draft UPWP
- No later than September 16, 2019 – MPO submits adopted UPWPs to ALDOT

Draft and Final UPWPs should be e-mailed to Michael Hora at [horam@dot.state.al.us](mailto:horam@dot.state.al.us). Additionally, four (4) hard copies of your final FY 2020 UPWP should be mailed to:

*D.E. Phillips, Jr., P.E., State Local Transportation Engineer  
Alabama Department of Transportation  
1409 Coliseum Blvd., Montgomery, AL 36110*

Please contact Michael Hora at (334) 242-6517 or [horam@dot.state.al.us](mailto:horam@dot.state.al.us) with any questions.

DEP/mh

Mr. Don Arkle, P.E., Chief Engineer

Mr. Ed Austin, P.E., Asst. Chief Engineer

Mr. Jimmy Carroll, Asst. Bureau Chief

Mr. Clint Andrews, P.E., FHWA

Mr. Keith Melton, FTA

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